

Wichita Wealth Management, LLC

Privacy Policy

Wichita Wealth Management, LLC (hereinafter referred to as “WWM”), an independent Registered Investment Advisor, is committed to maintaining the confidentiality, integrity, and security of the information entrusted to us. We use this information to help you meet your personal financial goals.

We hold all personal information provided to our firm in the strictest of confidence. This information includes all the data that we collect about you in connection with the services we provide. We never disclose personal information to third parties except as allowed/required by law or by client consent.

The categories of nonpublic personal information that we collect depend on the scope of the engagement and may include information that you give us, information about your transactions with us or our affiliates, information about your transactions with nonaffiliated third parties, and information from a consumer reporting agency.

The law provides that we may disclose confidential information under the following circumstances:

- With the consent or direction of the client. When you sign the WWM Client Services Agreement, you are giving your permission to WWM to disclose personal information to third parties as necessary to perform the services requested and for contingency planning. Some examples are (but not limited to): insurance broker for insurance quotes, attorney for estate-planning services, accountant for preparation of income tax forms, software provider for financial plan/investment analysis, firm that provides contingency/succession planning, etc.
- For resolving client disputes or inquiries.
- To persons holding a legal or beneficial interest relating to the client.
- To persons acting in a fiduciary or representative capacity on behalf of the client.
- To law enforcement agencies, state insurance authority or the Federal Trade Commission in order to comply with Federal, State, or local laws, rules and other applicable legal requirements.
- To comply with a properly authorized civil, criminal, or regulatory investigation, or subpoena or summons by Federal, State, or local authorities and to respond to judicial process or government regulatory authorities having jurisdiction over WWM.
- To comply with requests by agencies assessing our firm’s compliance with industry standards.
- To our attorneys, accountants and auditors as may be needed to meet legal, compliance or regulatory requirements.

We do not sell or provide your personally identifiable information to mailing list vendors or solicitors for any purpose, except that we may from time-to-time do a direct mailing campaign (for our firm only). You may “opt out” of mail campaigns by written request via mail or email.

At WWM, we restrict access to clients’ personal information to employees who have a “need to know” that information. We maintain a secure office and computer environment, including procedural safeguards, data encryption techniques and computer access authentication procedures. We store encrypted backups of data offsite to insure business continuity.

In addition, all employees/officers of our firm and independent contractors hired by our firm understand that all personally identifiable information is private and confidential and should not be discussed with others – family, friends or other clients. Within the office environment, it is understood that discussions should only entail that personal information which is needed to do the project.

We will deliver this Privacy Policy to you annually (which is required by law) and notify you if there are changes to our policy during the year.